

FORM PTO-1390 (Modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (REV 5-93)		ATTORNEY'S DOCKET NUMBER <b>016906-0343</b>
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) Unassigned <b>10/511267</b>
INTERNATIONAL APPLICATION NO. PCT/EP03/04764	INTERNATIONAL FILING DATE 05/07/2003	PRIORITY DATE CLAIMED 05/31/2002
TITLE OF INVENTION <b>METHOD FOR PRODUCING A HEAT EXCHANGER BOX</b>		
APPLICANT(S) FOR DO/EO/US <b>Georg KÄMMLER</b>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/>	This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.	
2. <input type="checkbox"/>	This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.	
3. <input type="checkbox"/>	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.	
4. <input type="checkbox"/>	The US has been elected (Article 31).	
5. <input checked="" type="checkbox"/>	A copy of the International Application as filed (35 U.S.C. 371(c)(2))	
	<input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).	
	<input checked="" type="checkbox"/> has been communicated by the International Bureau.	
	<input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)	
6. <input checked="" type="checkbox"/>	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))	
	<input checked="" type="checkbox"/> is attached hereto.	
	<input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).	
7. <input type="checkbox"/>	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))	
	<input type="checkbox"/> are attached hereto (required only if not transmitted by the International Bureau).	
	<input type="checkbox"/> have been communicated by the International Bureau.	
	<input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.	
	<input type="checkbox"/> have not been made and will not be made.	
8. <input type="checkbox"/>	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).	
9. <input checked="" type="checkbox"/>	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).	
10. <input type="checkbox"/>	An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).	
Items 11 to 20 below concern other document(s) or information included:		
11. <input checked="" type="checkbox"/>	An Information Disclosure Statement under 37 CFR 1.97 and 1.98, Form PTO/SB/08 and International Search Report.	
12. <input checked="" type="checkbox"/>	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.	
13. <input checked="" type="checkbox"/>	A preliminary amendment.	
14. <input checked="" type="checkbox"/>	An Application Data Sheet under 37 CFR 1.76.	
15. <input type="checkbox"/>	A substitute specification.	
16. <input type="checkbox"/>	A power of attorney and/or change of address letter.	
17. <input type="checkbox"/>	A computer-readable form of the sequence listing in accordance with PCT Rule 13 <sup>ter</sup> .2 and 37 CFR 1.821 – 1.825	
18. <input type="checkbox"/>	A second copy of the published international application under 35 U.S.C. 154(d)(4).	
19. <input type="checkbox"/>	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).	
20. <input type="checkbox"/>	Other items or information:	

O. (If known, see 37 C.F.R. 1.50 Unassigned	INTERNATIONAL APPLICATION NO. 10/511267 PCT/EP03/04764	ATTORNEY'S DOCKET NUMBER 016906-0343		
21. <input checked="" type="checkbox"/> The following fees are submitted:		CALCULATIONS		
<b>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5):</b>				
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO.....\$1,110.00				
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO.....\$950.00				
No international preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....\$790.00				
International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4).....\$750.00				
International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4).....\$100.00				
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>		\$ 950.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e))		\$ 0.00		
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total Claims	12 - 20 =	0	x \$ 18.00	\$ 0.00
Independent Claims	1 - 3 =	0	x \$ 88.00	\$ 0.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$ 300.00	\$
<b>TOTAL OF ABOVE CALCULATIONS =</b>		\$ 950.00		
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.		+ \$ 0.00		
<b>SUBTOTAL =</b>		\$ 950.00		
Processing fee of 130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f))		\$		
<b>TOTAL NATIONAL FEE =</b>		\$ 950.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). 40.00 per property		\$ 40.00		
<b>TOTAL FEES ENCLOSED =</b>		\$ 990.00		
		Amount to be refunded:		
		charged:		
<p>a. <input checked="" type="checkbox"/> A check in the amount of \$ 990.00 to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. <u>19-0741</u> in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>19-0741</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charge to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card</b> information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p> <p><b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p>				
SEND ALL CORRESPONDENCE TO:				
 SIGNATURE <u>Richard L. Schwaab</u> NAME <u>25,479</u> REGISTRATION NUMBER				
Foley & Lardner LLP Customer Number: 22428				

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Georg KÄMMLER

Corres. to PCT/EP03/04764

For: METHOD FOR PRODUCING A HEAT EXCHANGER BOX

TRANSLATOR'S DECLARATION

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

I, the below-named translator, certify that I am familiar with both the German and the English language, that I have prepared the attached English translation of International Application No. PCT/EP03/04764, and that the English translation is a true, faithful and exact translation of the corresponding German language paper.

I further declare that all statements made in this declaration of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful, false statements may jeopardize the validity of legal decisions of any nature based on them.

*NT Simpkin*

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Date 1st day of October 2004

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Name: Neil Thomas SIMPKIN

For and on behalf of RWS Group Ltd